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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/044,303

01/11/2002

Dietmar J. Manstein

1974006

CONFIRMATION NO. 6803

FORMALITIES LETTER

OC000000007498872*

Candice J. Clement, Esq. ·HESLIN ROTHENBERG FARLE 5 Columbia Circle Albany, NY 12203

COPY OF PAPERS **ORIGINALLY FILED**

Date Mailed: 02/20/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

04/08/2002 JADDO1

01 FC:201

03 FC:205

00000019 10044303

370.00 OP

81.00 DP 65.00 OP FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below. however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. 310 Applicant must submit \$.746 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$162.
- \$162 for 9 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
 - The balance due by applicant is \$.1032. 516
 - This application does not contain a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and. where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). Applicant must provide such statement. If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8. 2000) and 1238 OG 145 (September 19, 2000).
 - A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu



of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules interpretation, call (703) 308-4216
- To Purchase Patentin Software, call (703) 306-2600
- For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

A copy of this notice MUST be returned with the reply.

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Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE



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WASHINGTON, D.C. 2023

ATTACHMENT TO "NOTICE TO COMPLY WITH REQUIREMENTS...SEQUENCE DISCLOSURES"

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Any reply including a sequence listing in electronic form should NOT be sent to the 20231 zip code address for the United States Patent and Trademark Office, and instead should be submitted using one of the following methods:

- 1. Electronically submitted through EFS-Bio (http://www.uspto.gov/ebc/efs/downloads/documents.htm, EFS Submission User Manual ePAVE)
- 2. Mailed to:

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PR 0 5 2002

. Manstein et al.

Serial No.: 10/044,303

Filed: January 11, 2002

Atty Dkt: 1974.006

Group Art Unit: 1653

Examiner: Not yet assigned

Title: PROTEIN EXPRESSION AND

STRUCTURE SOLUTION USING SPECIFIC FUSION

VECTORS

Certificate of Mailing Under 37 CFR §1.8(a)

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Box Missing Parts, Washington, D.C. 20231, on March 26, 2002.

Candide J. Clement Attorney for Applicants Reg. No. 39,946

Date of Signature: March 26, 2002

Assistant Commissioner for Patents

Box Missing Parts

Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

Filing Date Granted

Dear Sir:

13

This is filed in response to the Formalities Letter mailed February 20, 2002 in connection with the above-captioned patent application. As a reply to the Letter is due by April 20, 2002, this paper is timely filed.

Enclosed herewith, and forming a part of this response, are:

- a copy of the Formalities Letter;
- an executed Declaration and Power of Attorney;
- a check in the amount of \$516.00, consisting of

\$370.00 basic filing fee;

\$81.00 fee for 9 claims in excess of 20; and

\$65.00 surcharge for late filing of Declaration/filing fee;

006.rs1 msg 26 Mar 02

- Communication claiming small entity status of Assignee Max-Planck-Gesselschaft zur F\u00f6rderung der Wissenschaften e.V as a not-for-profit scientific organization (the fees submitted herewith are for a small entity);
- copy of Assignment document;
- replacement e-PAVE Bibliographic Details Sheet correcting the address and citizenship of Applicants, Kull and Knetsch; and
- Communication regarding diskette copy of sequence listing and statement under 37 CFR 1.821(f).

Although Applicants believe the appropriate fees to be enclosed, the Commissioner is authorized to charge any deficiency in fees, and to credit any overpayment, to Deposit Account 08-1935.

Date: March 26, 2002

Respectfully submitted,

Candice J. Clement, Esq.

Attorney for Applicants

USPTO Registration Number 39,946

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